



Plasma natriuretic peptide levels in fetuses with congenital heart defect and arrhythmia: a single-center prospective study

Journal:	<i>Ultrasound in Obstetrics and Gynecology</i>
Manuscript ID	Draft
Wiley - Manuscript type:	Original Article
Date Submitted by the Author:	n/a
Complete List of Authors:	Miyoshi, Takekazu; National Cerebral and Cardiovascular Center, Perinatology and Gynecology Umekawa, Takashi; Mie University, Obstet and Gynecology Hosoda, Hiroshi; National Cerebral and Cardiovascular Center, Regenerative Medicine and Tissue Engineering Asada, Takashi; National Cerebral and Cardiovascular Center, Laboratory of Clinical Chemistry Fujiwara, Akihiro; National Cerebral and Cardiovascular Center, Laboratory of Clinical Chemistry kurosaki, kenji; National Cerebral and Cardiovascular Center, Osaka, Japan., Pediatric Cardiology Shiraishi, Isao; National Cerebral and Cardiovascular Center, Pediatric Cardiology Nakai, Michikazu; National Cerebral and Cardiovascular Center, Statistics and Data Analysis Nishimura, Kunihiro; National Cerebral and Cardiovascular Center, Statistics and Data Analysis Miyazato, Mikiya; National Cerebral and Cardiovascular Center, Biochemistry Kangawa, Kenji; National Cerebral and Cardiovascular Center, Biochemistry Ikeda, Tomoaki; Mie University, Obstetrics and Gynecology yoshimatsu, jun; National Cerebral and Cardiovascular Center, Osaka, Japan., Perinatology and Gynecology Minamino, Naoto; National Cerebral and Cardiovascular Center, Omics Research Center
Manuscript Categories:	Obstetrics
Keywords:	arrhythmia, cardiovascular profile score, congenital heart defect, heart failure, natriuretic peptide, prenatal diagnosis

SCHOLARONE™
Manuscripts

For Peer Review

1 *Original Paper*

2 **Plasma natriuretic peptide levels in fetuses with congenital heart defect and**
3 **arrhythmia: a single-center prospective study**

4

5 Takekazu MIYOSHI, MD^{1,9}, Takashi UMEKAWA, MD^{2,9}, Hiroshi HOSODA, MD^{3,9},
6 Takashi ASADA, PhD⁴, Akihiro FUJIWARA, PhD⁴, Ken-ichi KUROSAKI, MD⁵, Isao
7 SHIRAIISHI, MD⁵, Michikazu NAKAI, PhD⁶, Kunihiro NISHIMURA, MD⁶, Mikiya
8 MIYAZATO, MD⁷, Kenji KANGAWA, PhD⁷, Tomoaki IKEDA, MD, Prof², Jun
9 YOSHIMATSU, MD¹, Naoto MINAMINO, PhD⁸

10

11 ¹Department of Perinatology and Gynecology (T.M., J.Y.), ³Department of Regenerative
12 Medicine and Tissue Engineering (H.H.), ⁴Laboratory of Clinical Chemistry (T.A., A.F.),
13 ⁵Department of Pediatric Cardiology (K.I.K., I.S.), ⁶Department of Statistics and Data
14 Analysis, Center for Cerebral and Cardiovascular Disease Information (M.N., K.N.),
15 ⁷Department of Biochemistry (M.M., K.K.), ⁸Omics Research Center (N.M.), National
16 Cerebral and Cardiovascular Center, Suita, Japan

17 ²Department of Obstetrics and Gynecology, Mie University, Tsu, Japan (T.U., T.I.)

18 ⁹These authors contributed equally to this article.

19

20 **Short title:** Plasma natriuretic peptides in fetal heart disease

21

22 **Corresponding author:** Naoto Minamino, PhD

23 Omics Research Center, National Cerebral and Cardiovascular Center, 5-7-1 Fujishiro-dai,
24 Suita, Osaka 565-8565, Japan.

25 Tel: +81-6-6833-5012, Fax: +81-6-6835-5349, E-mail address: minamino@nccvc.go.jp

26

27 **Disclosure:** None of the authors have a conflict of interest to disclose.

28

29 **Sources of Funding:** This work was mainly supported by the KAKENHI Grant (15K19666)
30 from the Japanese Ministry of Education, Culture, Sports, Science and Technology. This
31 work was also supported in part by the Intramural Research Fund for Cardiovascular Disease
32 (26-6-1, 27-1-5) of the National Cerebral and Cardiovascular Center of Japan, and in part by
33 the Takeda Science Foundation (J042) and Tsuchiya Foundation (J151).

34

35 Introduction: 228 words

36 Discussion: 928 words

37 Number of figures: 3

38 Number of tables: 4

39 Number of supplementary figures: 1

40 Number of supplementary tables: 1

41 **ABSTRACT**

42

43 **Objectives:** Diagnosing fetal heart failure remains challenging because it is difficult to know
44 how well the fetal myocardium will perform as loading conditions change. In adult
45 cardiology, natriuretic peptides (NPs) are established marker of heart failure. However, the
46 number of studies investigating NP levels in fetuses is quite limited. The aim of this study
47 was to evaluate the significance of plasma NP levels in the assessment of heart failure in
48 fetuses with congenital heart defect (CHD) and arrhythmia.

49 **Methods:** This was a prospective observational study at a tertiary pediatric cardiac center. A
50 total of 129 singletons with CHD, arrhythmia, or both and 127 controls from 2012 to 2015
51 were analyzed. Umbilical cord plasma atrial NP, brain NP and N-terminal pro-brain NP levels
52 at birth were compared with ultrasonography findings indicating fetal heart failure such as a
53 cardiovascular profile (CVP) score and morphological characteristics.

54 **Results:** Fetuses with CHD, arrhythmia, or both had higher NP levels than controls ($P<0.01$).
55 NP levels of fetuses with CHD, arrhythmia, or both were inversely correlated with CVP score
56 (P for trend <0.01). No differences were found in NP levels between fetuses with CHD or
57 arrhythmia and a CVP score of ≥ 8 versus controls. Multivariate analysis showed that a CVP
58 score of ≤ 5 , tachy- or bradyarrhythmia at birth, preterm birth, and umbilical artery pH <7.15
59 are independently associated with high NP levels ($P<0.01$). Among fetuses with a CVP score
60 of ≤ 7 , abnormal venous Doppler sonography findings were significantly more common and
61 more severe in fetuses with tachy- or bradyarrhythmia than those with CHDs, and fetuses
62 with tachy- or bradyarrhythmia had higher NP levels than those with CHDs ($P=0.01$). Fetuses
63 with right heart defect and moderate or severe tricuspid valve regurgitation had significantly
64 higher NP levels than fetuses with other types of CHD ($P<0.01$).

65 **Conclusions:** Plasma NP levels in fetuses with CHD, arrhythmia, or both are correlated with

66 the severity of fetal heart failure. Elevated NP levels are mainly attributed to increases in
67 central venous pressure secondary to arrhythmia or atrioventricular valve regurgitation due to
68 a CHD, rather than the morphological abnormality itself.

69

70 **Key words:** arrhythmia; cardiovascular profile score; congenital heart defect; heart failure;
71 natriuretic peptide; prenatal diagnosis

72

For Peer Review

73 **Introduction**

74 Diagnosing fetal heart failure remains challenging because it is difficult to know how well the
75 fetal myocardium will perform when loading conditions change¹. Recently, the
76 cardiovascular profile (CVP) score was found to be a superior marker for comprehensive and
77 semi-quantitative assessment of fetal heart failure manifesting as fetal hydrops^{2,3}. The role of
78 the CVP score in the prognosis of fetuses with CHDs has been studied⁴⁻⁶. The American
79 Heart Association statements mention that it may be useful in baseline and serial evaluations
80 of fetuses at risk for or with myocardial dysfunction⁷.

81 In adult cardiology, atrial natriuretic peptide (ANP), brain natriuretic peptide (BNP)
82 and N-terminal pro-brain natriuretic peptide (NT-proBNP) are established markers of heart
83 failure⁸⁻¹⁰. However, few studies have investigated natriuretic peptide (NP) levels in fetuses
84 with CHDs¹¹⁻¹⁴. It has not been clearly established whether plasma NP levels in umbilical
85 cord blood are indicators of fetal heart failure. In addition, to the best of our knowledge, there
86 have been no studies investigating NP levels in fetuses with arrhythmias, although fetal
87 tachy- or bradyarrhythmias are common causes of fetal hydrops¹⁵⁻¹⁷.

88 The aim of the present study was to evaluate the significance of plasma NP levels in
89 the assessment of fetal heart failure by comparing the pathophysiological status of fetuses
90 with CHD and arrhythmia. We prospectively observed changes in CVP scores *in utero* and
91 measured umbilical cord blood NP levels at birth.

92

93 **Methods**

94 A single-center prospective observational study was undertaken with approval from our
95 institutional review board (M24-041) and written informed consent from the fetuses' parents.
96 All singletons prenatally diagnosed with CHD, arrhythmia, or both at the National Cerebral
97 and Cardiovascular Center between October 2012 and December 2015 were included in this

98 study. Exclusion criteria included a critical chromosomal anomaly such as trisomy 13 or 18,
99 or a critical extracardiac anomaly that required surgical intervention during the neonatal
100 period. Control subjects were normal fetuses with no complications such as CHD,
101 extracardiac anomaly, and growth restriction that were recruited randomly. Subjects were
102 excluded there they had maternal and obstetrical complications such as chronic hypertension,
103 diabetes mellitus, preeclampsia, and gestational diabetes mellitus. Cases and controls with no
104 available blood samples at birth were also excluded from analysis.

105 The CVP score was used to characterize fetal heart failure⁷. CVP scores of all cases
106 were evaluated by the same person. The CVP score is based on a proposed composite scoring
107 system to grade and serially follow the severity of fetal heart failure using 5 fetal
108 echocardiographic parameters: fetal effusion, venous Doppler findings, heart size, cardiac
109 function, and arterial Doppler findings. Heart failure severity is rated on a 10-point scale;
110 points are deducted for abnormalities in each component marker²⁻⁴. A CVP score of ≥ 8 is
111 considered to indicate no or mild heart failure, 6 or 7 moderate heart failure, and ≤ 5 severe
112 heart failure⁶. Sixty-two CVP score data in the CHD group were presented in our previous
113 study focused on CVP score as a predictor of acute intrapartum non-reassuring fetal status in
114 infants with CHDs¹⁸. Umbilical cord blood NP data have not been previously published in
115 any form.

116 All fetuses with CHD were diagnosed prenatally using fetal echocardiography with
117 Voluson E8 ultrasound equipment (GE Medical Systems, Zipf, Austria). CHDs were
118 morphologically categorized as having single ventricle or biventricular physiology, as in our
119 previous study¹⁸. Our tertiary pediatric cardiac center has an established protocol for patients
120 with a prenatal diagnosis of CHD or arrhythmia¹⁸. Patients are admitted to the hospital and
121 assessed at least weekly with CVP and biophysical profile scores after 37 weeks of gestation
122 or if they have a complication such as threatened labor or fetal growth restriction. Therefore,

123 all fetuses had a CVP score assessed within 1 week before birth.

124 All cases of fetal arrhythmia were diagnosed using fetal echocardiography and
125 magnetocardiography (MC-6400, Hitachi High-Technologies Corporation, Tokyo, Japan).
126 Fetal arrhythmias were categorized as tachyarrhythmia, bradyarrhythmia, or extrasystole.
127 Fetal tachy- and bradyarrhythmias were defined by a ventricular rate of ≥ 180 bpm and < 100
128 bpm, respectively. When fetal tachyarrhythmia was sustained for $\geq 50\%$ of the time on
129 monitoring prior to 37 weeks of gestation, fetal therapy was performed. Digoxin, sotalol,
130 flecainide or a combination was used for supraventricular tachycardia and atrial flutter.
131 Magnesium sulfate, propranolol, mexiletine, or a combination was used for ventricular
132 tachycardia. When complete atrioventricular block was complicated by a fetal ventricular rate
133 of < 55 bpm with or without myocarditis before 34 weeks of gestation, fetal therapy using
134 beta-sympathomimetics, steroids, or both was performed.

135 Umbilical vein (UV) blood samples were collected at the time of delivery into test
136 tubes containing EDTA-2Na and aprotinin (final concentration: 1.5 mg/mL and 500 kallikrein
137 inhibitor units/mL). Blood samples were chilled on ice. Plasma samples were prepared by
138 centrifugation at $1500 \times g$ for 15 min at 4°C and immediately frozen at -80°C until assays
139 were performed. UV plasma ANP and BNP concentrations were measured using the AIA-
140 PACK chemiluminescence immunoassay (TOSOH Corporation, Tokyo, Japan). An
141 electrochemiluminescence immunoassay (Elecsys NT-proBNP II, Roche Diagnostics,
142 Mannheim, Germany) was used to assess NT-proBNP concentrations in UV blood samples.

143 Statistical analysis was performed using Stata version 14.1 (StataCorp LP, College
144 Station, TX, USA) and JMP 10 (SAS Institute, Cary, NC, USA). Data are presented as means
145 \pm standard deviation or numbers of patients. Student's t-test was used to compare continuous
146 variable between groups. Categorical variables were evaluated using the chi-square test or
147 Fisher's exact test as appropriate. Correlation between NP levels and CVP scores was

148 evaluated using the trend test. We also performed univariate and multivariate logistic
149 regression of NP levels in fetuses with CHD, arrhythmia, or both. The best prediction model
150 was selected by backward elimination with $P=0.10$ as the criterion for exclusion. Stepwise
151 analysis was used to adjust for baseline variables. $P<0.05$ was considered significant in all
152 analyses.

153

154 **Results**

155 Study cohort and baseline characteristics

156 A total of 143 fetuses with CHD, arrhythmia, or both and 137 control fetuses were
157 prospectively enrolled in the present study (Figure 1). In the CHD and arrhythmia group, 4
158 cases of fetal demise, 3 cases of trisomy 18, and 7 cases with sampling failure were excluded,
159 leaving 129 fetuses available for analysis. The 4 fetal demises were due to Ebstein's anomaly
160 with circular shunt in 2 cases, dilated cardiomyopathy in 1 case, and double outlet right
161 ventricle with severe fetal growth restriction in 1 case. Among the controls, 1 case of fetal
162 hydronephrosis and 9 cases of sampling failure were excluded, leaving 127 fetuses available
163 for analysis. Baseline perinatal characteristics are shown in Table 1. All controls had normal
164 fetal growth and a CVP score of 10. In the control group, cesarean delivery was mainly
165 performed due to previous cesarean delivery.

166 The types of CHD and arrhythmia among study participants are shown in Table 2. All
167 diagnoses of CHD were confirmed soon after birth using echocardiography by pediatric
168 cardiologists. Arrhythmias complicated by CHD were classified as arrhythmia group. One
169 fetus with supraventricular tachycardia had a cardiac tumor, 2 fetuses with complete
170 atrioventricular block and 2 fetuses with sinus bradycardia had left atrial isomerism, and 4
171 fetuses with atrial extrasystole had atrioventricular septal defect. Fetal therapy was performed
172 in 22 fetuses with arrhythmia; supraventricular tachycardia or atrial flutter ($n=15$), ventricular

173 tachycardia (n=2) and complete atrioventricular block (n=5). At birth, 5 cases of
174 tachyarrhythmia, 11 of bradyarrhythmia, and 8 of extrasystole were confirmed using
175 electrocardiography.

176

177 NP levels and perinatal factors associated with CHD or arrhythmia

178 When examining the relationship between NP levels and CVP score of fetuses with CHD or
179 arrhythmia versus controls, plasma ANP and BNP levels had an identical relationship with
180 CVP score (Figure 2, Supplementary figure S1A and B). Therefore, we present data on UV
181 NT-proBNP levels as representative of NP levels overall. Fetuses with CHD, arrhythmia, or
182 both had a 3.2-fold higher UV NT-proBNP level than control fetuses (1935 pg/mL vs. 613
183 pg/mL, $P < 0.01$). After dividing these fetuses into 3 groups by CVP score of ≥ 8 (n=107), 6 or
184 7 (n=13), and ≤ 5 (n=9), we found that UV NT-proBNP levels were inversely correlated with
185 CVP score among cases (P for trend < 0.01), while no differences were observed in UV NT-
186 proBNP levels between fetuses with CHD or arrhythmia and a CVP score of ≥ 8 versus
187 controls ($P = 0.16$) (Figure 2).

188 To identify perinatal factors associated with high UV NT-proBNP levels, univariate
189 and multivariate analyses were performed for fetuses with CHD, arrhythmia, or both (Table
190 3). Multivariate analysis showed that a CVP score of ≤ 5 (coefficient 3299.37, 95%
191 confidence interval (CI) 1748.47–4850.27), tachy- or bradyarrhythmia at birth (coefficient
192 8719.68, 95% CI 7365.91–10073.45), preterm birth (coefficient 1281.68, 95% CI 327.08–
193 2236.27), and umbilical artery (UA) pH < 7.15 (coefficient 7903.22, 95% CI 5455.22–
194 10351.21) were independently associated with high UV NT-proBNP levels ($P < 0.01$). Similar
195 results were obtained for UV plasma ANP and BNP levels. The main reasons for preterm
196 birth in the CHD and arrhythmia group were progression of fetal heart failure or hydropic
197 status (n=7), spontaneous labor (n=3), and abnormal fetal heart rate pattern (n=2). Of 12

198 preterm births, 10 cases underwent elective cesarean delivery. One fetus with Ebstein's
199 anomaly with circular shunt (CVP score of 0) born at 34 weeks of gestation had UA pH of
200 7.11 and the other case had fetal premature ventricular contraction (CVP score of 8) with a
201 UA pH of 7.14.

202

203 Comparison of CHD and arrhythmia and NP levels

204 Tachy- or bradyarrhythmia but not extrasystole was observed in all 10 fetuses with
205 arrhythmia and a CVP score of ≤ 7 at birth. These fetuses had 2.9-fold higher UV NT-proBNP
206 levels than fetuses with CHD and a CVP score of ≤ 7 (10900 pg/mL vs. 3757 pg/mL, $P < 0.01$)
207 (Figure 3). In contrast, no differences were observed in UV NT-proBNP levels between
208 arrhythmia versus CHD in fetuses with a CVP score of ≥ 8 (756 pg/mL vs. 945 pg/mL,
209 $P = 0.49$). Among fetuses with a CVP score of ≤ 7 , fetuses with CHD versus arrhythmia had
210 similar last CVP scores (5.7 ± 2.1 vs. 5.4 ± 1.4 , $P = 0.74$). However, when comparing each
211 parameter of the last CVP score individually, abnormal venous Doppler sonography findings
212 were significantly more common and more severe in fetuses with arrhythmia than those with
213 CHD ($P = 0.01$) (Table 4).

214

215 NP levels and change in CVP score *in utero*

216 Sixteen fetuses with CHD, arrhythmia, or both had a decrease in CVP score from enrollment
217 to birth (Supplementary Table 1). Fetuses with a decrease in CVP score *in utero* had
218 significantly higher neonatal or infant mortality compared with fetuses without (17.6% vs.
219 0.9%, $P < 0.01$). In the CHD and arrhythmia group, fetuses with a decrease in CVP score had
220 moderate or severe atrioventricular valve regurgitation (8/16, 50.0%), or tachy- or
221 bradyarrhythmia at birth (6/16, 37.5%); they had 6-fold higher UV NT-proBNP levels than
222 those without (7099 pg/mL vs. 1163 pg/mL, $P < 0.01$). Fetuses with right heart defect had

223 lower CVP scores than fetuses with other types of CHD ($P=0.01$). Notably, among fetuses
224 with right heart defect, those with moderate or severe tricuspid valve regurgitation (TR) had
225 11.7-fold higher UV NT-proBNP levels than those without (6755 pg/mL vs. 579 pg/mL,
226 $P<0.01$). However, in fetuses with CHD but without moderate or severe atrioventricular valve
227 regurgitation, UV NT-proBNP levels were not significantly different by CHD category
228 ($P=0.43$) Of 6 fetuses with hypoplastic left heart syndrome (HLHS), 3 had a highly restrictive
229 foramen ovale with an abnormal pulmonary venous flow pattern. All fetuses with HLHS had
230 no change in CVP score *in utero* and low UV NT-proBNP levels (median 920, range 331–
231 1172 pg/mL). Results were similar for plasma ANP and BNP levels.

232

233 Discussion

234 Our study demonstrated that plasma NP levels in umbilical cord blood are correlated with the
235 severity of heart failure in fetuses with CHD, arrhythmia, or both. Fetal tachy- or
236 bradyarrhythmias and right heart defects with moderate or severe TR showed low CVP scores
237 and high NP levels. Plasma concentrations of UV ANP, BNP, and NT-proBNP were
238 associated with similar heart failure profiles in fetuses with CHD and arrhythmia.

239 Fetal tachy- or bradyarrhythmia at birth was strongly correlated with high NP levels.

240 One major characteristic of the fetal circulation is the limited heart rate reserve. In our study,
241 among fetuses with a CVP score of ≤ 7 , abnormal venous Doppler sonography findings were
242 significantly more common and more severe in fetuses with tachy- or bradyarrhythmia
243 compared with those with CHD. Moreover, fetuses with tachy- or bradyarrhythmia had
244 higher NP levels than fetuses with CHD. Abnormal venous Doppler sonography findings
245 indicate elevation of central venous pressure¹⁹. The increase in wall stress will result in
246 cardiac remodeling and hypertrophy, which increases myocardial oxygen consumption and
247 aggravates myocardial dysfunction. To overcome the reduction in ventricular compliance,

248 end-diastolic filling pressure and hydrostatic central venous pressure will increase to maintain
249 cardiac output, resulting in more NP release from the fetal heart¹⁹⁻²¹. Thus, we speculate that
250 high NP levels may be associated with rapid progression to hydrops in fetuses with tachy- or
251 bradyarrhythmia¹⁵⁻¹⁷.

252 Right heart defects with moderate or severe TR were associated with lower CVP
253 scores and higher NP levels than other types of CHDs. Merz *et al.* reported that fetuses with
254 ventricular outflow tract obstruction and an intact interventricular septum have significantly
255 higher NT-proBNP levels than fetuses with shunt lesions¹³. They speculated that high
256 ventricular pressure was associated with elevated NP levels. In fact, fetuses with right heart
257 defect but no or mild TR, which does not lead to high right ventricular pressure, had low NP
258 levels in our study. However, all HLHS with restrictive foramen ovale had low NP levels in
259 our study, even though these were presumed to have high left atrial and ventricular pressure.
260 Taken together, we can safely presume that elevated NP levels can be mainly attributed to
261 increases in central venous pressure secondary to atrioventricular valve regurgitation due to
262 CHD, rather than the morphological abnormality itself.

263 Compared to controls, no differences were found in NP levels in fetuses with CHD,
264 arrhythmia, or both and a CVP score of ≥ 8 . This finding reflects the fact that fetuses with a
265 high CVP score do not have heart failure *in utero*, even though they might have a complex
266 CHD or arrhythmia. Given the physiological advantage of parallel circulation and bypassing
267 of the pulmonary circulation, even fetuses with congenital single ventricle physiology could
268 adapt during fetal circulation^{22,23}. Therefore, mortality after birth cannot be predicted by CVP
269 score and NP levels for some types of CHDs. For example, HLHS with highly restrictive
270 foramen ovale is well known to have a poor prognosis soon after birth⁷, but it was associated
271 with high CVP scores and low NP levels in our study.

272 Preterm birth was independently correlated with high NP levels in our study. Earlier

273 studies have shown that gestational age is not an important determinant of fetal and newborn
274 ANP levels^{24,25}. Plasma ANP levels were higher in fetuses with hydrops than in controls²⁵.
275 Based on these reports, preterm birth caused by fetal heart failure or hydropic status may
276 contribute to high NP levels. A previous study has shown that umbilical cord ANP levels
277 were inversely related to UA pH²⁴. Maternal hypertensive disorder and fetal acidemia during
278 labor have been reported to stimulate fetal ANP production²⁶. We found that UA pH <7.15 is
279 independently correlated with high NP levels, which is consistent with these previous studies.

280 There were several limitations in the present study, including its single-center nature
281 and the relatively small sample size. First, the most severe cases resulting in fetal demise
282 were not included in the analysis, because umbilical cord blood samples were only available
283 for live births. However, our institution is one of the largest tertiary pediatric cardiac centers
284 in Japan, and a variety of complex CHDs and arrhythmias was included in the study cohort.
285 In addition, all fetuses with CHD and arrhythmia were diagnosed prenatally with high
286 accuracy and had a CVP score assessed within 1 week before birth. As a result, we
287 demonstrated that CVP score could be used to assess heart failure for fetuses with CHD and
288 fetal arrhythmia. Second, we were not able to investigate the relationship between NP levels
289 and mortality after birth. Because of improvements in transplacental therapy for arrhythmias
290 and neonatal management of severe complex CHDs, there were only 4 neonatal and infant
291 deaths (3.2%) in the present study cohort, so multivariate analyses of mortality were not
292 possible. Larger multicenter prospective studies involving CVP score and NP levels are
293 required to better appreciate factors associated with mortality in fetuses with various types of
294 CHDs and arrhythmias. Percutaneous umbilical blood sampling will be necessary to obtain
295 real-time NP values that exclude the stress of labor. Since percutaneous umbilical blood
296 sampling is an invasive procedure, we are planning to develop less invasive methods such as
297 maternal blood biomarkers reflecting fetal heart failure.

298 In conclusion, plasma NP levels in fetuses with CHD, arrhythmia, or both are
299 correlated with the severity of fetal heart failure. Fetal tachy- or bradyarrhythmias and right
300 heart defects with significant TR show high NP levels. Elevated NP levels are mainly
301 attributed to increases in central venous pressure secondary to arrhythmia or atrioventricular
302 valve regurgitation due to CHD, rather than the morphological abnormality itself.

303

304 **Acknowledgments:** We thank the doctors in the Departments of Perinatology and
305 Gynecology for their assistance in collecting umbilical cord blood samples.

306

307 **References**

- 308 1. Huhta JC. Fetal congestive heart failure. *Semin Fetal Neonatal Med* 2005; **10**: 542–552.
- 309 2. Hofstaetter C, Hansmann M, Eik-Nes SH, Huhta JC, Luther SL. A cardiovascular profile
310 score in the surveillance of fetal hydrops. *J Matern Fetal Neonatal Med* 2006; **19**: 407–
311 413.
- 312 3. Huhta JC, Paul JJ. Doppler in fetal heart failure. *Clin Obstet Gynecol* 2010; **53**: 915–929.
- 313 4. Wieczorek A, Hernandez-Robles J, Ewing L, Leshko J, Luther S, Huhta J. Prediction of
314 outcome of fetal congenital heart disease using a cardiovascular profile score.
315 *Ultrasound Obstet Gynecol* 2008; **31**: 284–288.
- 316 5. Neves AL, Mathias L, Wilhm M, Leshko J, Linask KK, Henriques-Coelho T, Areias JC,
317 Huhta JC. Evaluation of prenatal risk factors for prediction of outcome in right heart
318 lesions: CVP score in fetal right heart defects. *J Matern Fetal Neonatal Med* 2014; **27**:
319 1431–1437.
- 320 6. Thakur V, Fouron JC, Mertens L, Jaeggi ET. Diagnosis and management of fetal heart
321 failure. *Can J Cardiol* 2013; **29**: 759–767.
- 322 7. Donofrio MT, Moon-Grady AJ, Hornberger LK, Copel JA, Sklansky MS, Abuhamad A,
323 Cuneo BF, Huhta JC, Jonas RA, Krishnan A, Lacey S, Lee W, Michelfelder EC Sr,
324 Rempel GR, Silverman NH, Spray TL, Strasburger JF, Tworetzky W, Rychik J. American
325 Heart Association Adults With Congenital Heart Disease Joint Committee of the Council
326 on Cardiovascular Disease in the Young and Council on Clinical Cardiology, Council on
327 Cardiovascular Surgery and Anesthesia, and Council on Cardiovascular and Stroke
328 Nursing. Diagnosis and treatment of fetal cardiac disease: a scientific statement from the
329 American Heart Association. *Circulation* 2014; **129**: 2183–2242.
- 330 8. Böhm M, Voors AA, Ketelslegers JM, Schirmer SH, Turgonyi E, Bramlage P, Zannad F.
331 Biomarkers: optimizing treatment guidance in heart failure. *Clin Res Cardiol* 2011; **100**:

- 332 973–981.
- 333 9. McMurray JJ, Adamopoulos S, Anker SD, Auricchio A, Böhm M, Dickstein K, Falk V,
334 Filippatos G, Fonseca C, Gomez-Sanchez MA, Jaarsma T, Køber L, Lip GY, Maggioni
335 AP, Parkhomenko A, Pieske BM, Popescu BA, Rønnevik PK, Rutten FH, Schwitter J,
336 Seferovic P, Stepinska J, Trindade PT, Voors AA, Zannad F, Zeiher A; ESC Committee
337 for Practice Guidelines. ESC Guidelines for the diagnosis and treatment of acute and
338 chronic heart failure 2012: The Task Force for the Diagnosis and Treatment of Acute and
339 Chronic Heart Failure 2012 of the European Society of Cardiology. Developed in
340 collaboration with the Heart Failure Association (HFA) of the ESC. *Eur Heart J* 2012;
341 **33**: 1787–1847.
- 342 10. Cameron VA, Ellmers LJ. Minireview: natriuretic peptides during development of the
343 fetal heart and circulation. *Endocrinology* 2003; **144**: 2191–2194.
- 344 11. Lechner E, Wiesinger-Eidenberger G, Wagner O, Weissensteiner M, Schreier-Lechner E,
345 Leibetseder D, Arzt W, Tulzer G. Amino terminal pro B-type natriuretic peptide levels
346 are elevated in the cord blood of neonates with congenital heart defect. *Pediatr Res* 2009;
347 **66**: 466–469.
- 348 12. Kocylowski RD, Dubiel M, Gudmundsson S, Sieg I, Fritzer E, Alkasi O, Breborowicz
349 GH, von Kaisenberg CS. Biochemical tissue-specific injury markers of the heart and
350 brain in postpartum cord blood. *Am J Obstet Gynecol* 2009; **200**: 273.e1–e25.
- 351 13. Merz WM, Kübler K, Albers E, Stoffel-Wagner B, Gembruch U. N-terminal pro-B-type
352 natriuretic peptide in the circulation of fetuses with cardiac malformations. *Clin Res*
353 *Cardiol* 2012; **101**: 73–79.
- 354 14. Bae JY, Cha HH, Seong WJ. Amino-Terminal proB-Type Natriuretic Peptide Levels in
355 the Umbilical Cord Blood of Neonates Differ According to the Type of Prenatally
356 Diagnosed Congenital Heart Disease. *Pediatr Cardiol* 2015; **36**: 1742–1747.

- 357 15. Kleinman CS, Donnerstein RL, DeVore GR, Jaffe CC, Lynch DC, Berkowitz RL, Talner
358 NS, Hobbins JC. Fetal echocardiography for evaluation of in utero congestive heart
359 failure. *N Engl J Med* 1982; **306**: 568–575.
- 360 16. Naheed ZJ, Strasburger JF, Deal BJ, Benson DW Jr, Gidding SS. Fetal tachycardia:
361 mechanisms and predictors of hydrops fetalis. *J Am Coll Cardiol* 1996; **27**: 1736–1740.
- 362 17. Miyoshi T, Maeno Y, Sago H, Inamura N, Yasukouchi S, Kawataki M, Horigome H,
363 Yoda H, Taketazu M, Shozu M, Nii M, Kato H, Hagiwara A, Omoto A, Shimizu W,
364 Shiraishi I, Sakaguchi H, Nishimura K, Nakai M, Ueda K, Katsuragi S, Ikeda T. Fetal
365 bradyarrhythmia associated with congenital heart defects - nationwide survey in Japan.
366 *Circ J* 2015; **79**: 854–861.
- 367 18. Miyoshi T, Katsuragi S, Neki R, Kurosaki KI, Shiraishi I, Nakai M, Nishimura K,
368 Yoshimatsu J, Ikeda T. Cardiovascular profile score as a predictor of acute intrapartum
369 non-reassuring fetal status in infants with congenital heart defects. *J Matern Fetal*
370 *Neonatal Med* 2016 (in press).
- 371 19. Johnson P, Sharland G, Allan LD, Tynan MJ, Maxwell DJ. Umbilical venous pressure in
372 nonimmune hydrops fetalis: correlation with cardiac size. *Am J Obstet Gynecol* 1992;
373 **167**: 1309–1313.
- 374 20. Harada M, Saito Y, Kuwahara K, Ogawa E, Ishikawa M, Nakagawa O, Miyamoto Y,
375 Kamitani S, Hamanaka I, Kajiyama N, Takahashi N, Masuda I, Itoh H, Nakao K.
376 Interaction of myocytes and nonmyocytes is necessary for mechanical stretch to induce
377 ANP/BNP production in cardiocyte culture. *J Cardiovasc Pharmacol* 1998; **31 Suppl 1**:
378 S357–359.
- 379 21. Gardiner HM. Response of the fetal heart to changes in load: from hyperplasia to heart
380 failure. *Heart* 2005; **91**: 871–873.
- 381 22. Rasanen J, Debbs RH, Wood DC, Weiner S, Weil SR, Huhta JC. Human fetal right

- 382 ventricular ejection force under abnormal loading conditions during the second half of
383 pregnancy. *Ultrasound Obstet Gynecol* 1997; **10**: 325–332.
- 384 23. Szwast A, Tian Z, McCann M, Donaghue D, Rychik J. Right ventricular performance in
385 the fetus with hypoplastic left heart syndrome. *Ann Thorac Surg* 2009; **87**: 1214–1219.
- 386 24. Kingdom JC, McQueen J, Connell JM, Whittle MJ. Maternal and fetal atrial natriuretic
387 peptide levels at delivery from normal and growth retarded pregnancies. *Br J Obstet*
388 *Gynaecol* 1992; **99**: 845–849.
- 389 25. Ville Y, Proudler A, Abbas A, Nicolaides K. Atrial natriuretic factor concentration in
390 normal, growth-retarded, anemic, and hydropic fetuses. *Am J Obstet Gynecol* 1994; **171**:
391 777–783.
- 392 26. Mäkikallio K, Vuolteenaho O, Jouppila P, Räsänen J. Umbilical artery N-terminal
393 peptide of proatrial natriuretic peptide in hypertensive pregnancies and fetal acidemia
394 during labor. *Obstet Gynecol* 2001; **97**: 23–28.
- 395

396 **Table 1.** Perinatal characteristics (n=256)

	Controls (n=127)	Fetuses with CHD and Arrhythmia (n=129)	P
Maternal age, years	33.4 ± 4.5	31.8 ± 5.1	<0.01
Primipara status	48 (37.8)	68 (52.7)	0.02
Last cardiovascular profile score	10.0 ± 0	8.9 ± 0.1	<0.01
Last biophysical profile score	10.0 ± 0.1	9.5 ± 0.1	<0.01
Poly- or oligohydramnios	1 (0.8)	11 (8.5)	<0.01
Cesarean delivery	82 (64.6)	45 (34.9)	<0.01
Gestational age at birth, weeks	38.0 ± 1.3	38.1 ± 1.6	0.35
Preterm birth	8 (6.3)	12 (9.3)	0.37
Birth weight, g	2912 ± 353	2794 ± 481	0.03
SGA <10 th percentile	0	30 (23.3)	<0.01
Male sex	67 (53.2)	69 (53.5)	0.96
Neonatal death within 1 month	0	2 (1.6)	0.50
Infant death from 1 to 3 months	0	2 (1.6)	0.50
Apgar score ≤7 at 5 minutes	0	9 (7.0)	<0.01
Umbilical artery pH <7.15	0	2 (1.6)	0.50
Ductal dependence	0	35 (27.1)	<0.01

397

398 Data are n (%) unless otherwise specified. Maternal age, cardiovascular profile score,
 399 biophysical profile score, gestational age at birth, and birth weight are shown as means ± SD.

400 CHD, congenital heart defect; SGA, small for gestational age.

401 **Table 2.** Categories of CHD and arrhythmia (n=129)

CHDs (n=86)

Single ventricle physiology

Isomerism (n=15)

Right atrial isomerism (n=12)

Left atrial isomerism (n=3)

Hypoplastic left heart syndrome (n=6)

Right heart defect (n=20)

Ebstein's anomaly or tricuspid valve dysplasia (n=6)

Pulmonary atresia with an intact ventricle septum (n=4)

Tricuspid atresia (n=10)

Biventricular physiology

Cyanotic heart defect (n=28)

Transposition of the great arteries (n=7)

Double outlet right ventricle (n=5)

Tetralogy of Fallot (n=12)

Truncus arteriosus (n=4)

Acyanotic heart defect (n=17)

Coarctation of the aorta (n=9)

Atrioventricular septal defect (n=8)

Arrhythmias (n=43)*

Tachyarrhythmia (n=20)

Supraventricular tachycardia or atrial flutter (n=18)

Ventricular tachycardia (n=2)

Bradyarrhythmia (n=11)

Sinus bradycardia (n=5)

Second-degree atrioventricular block (n=1)

Complete atrioventricular block (n=5)

Extrasystole (n=12)

402

403 *A total of 8 fetuses had arrhythmia complicated by CHD; 1 fetus with supraventricular
404 tachycardia had a cardiac tumor, 2 fetuses with complete atrioventricular block and 2 fetuses
405 with sinus bradycardia had left atrial isomerism, and 4 fetuses with atrial extrasystole had
406 atrioventricular septal defect.

407 CHD, congenital heart defect.

408

For Peer Review

409 **Table 3.** Univariate and multivariate analyses of UV NT-proBNP levels in fetuses with CHD,
 410 arrhythmia, or both (n=129)

	Univariate			Multivariate*		
	Coefficient	95% CI	P	Coefficient	95% CI	P
CVP score of ≤ 5	9788.62	8289.47 – 11267.77	<0.01	3299.37	1748.47 – 4850.27	<0.01
Preterm birth	4686.71	3424.36 – 5949.06	<0.01	1281.68	327.08 – 2236.27	<0.01
Weight at birth	-1.21	-2.08 – 0.33	0.01			
UA pH <7.15	9674.64	5821.02 – 13528.25	<0.01	7903.22	5455.22 – 10351.21	<0.01
Tachy- or bradyarrhythmia at birth	10924.2	9567.57 – 12280.83	<0.01	8719.68	7365.91 – 10073.45	<0.01
Cesarean delivery	1013.44	298.72 – 1728.16	0.01			

411

412 *The best prediction model was selected using backward elimination with $P=0.10$ as the
 413 criterion for exclusion. Stepwise analysis was used to adjust for baseline variables.

414 CHD, congenital heart defect; CI, confidence interval; CVP score, cardiovascular profile
 415 score; NT-proBNP, N-terminal pro-brain natriuretic peptide; UA, umbilical arterial; UV,
 416 umbilical vein.

417 **Table 4.** Comparison of 5 echocardiographic parameters comprising the last CVP score in
 418 fetuses with CHD versus arrhythmia and suspected moderate or severe heart failure (CVP
 419 score of ≤ 7 , n=22).

	CHD (n=12)	Arrhythmia* (n=10)	P
Last CVP score	5.7 ± 2.1	5.4 ± 1.4	0.74
1. Fetal effusion†			0.78
Absence of effusion	6 (50.0)	6 (60.0)	
Abdominal, pleural, or pericardial effusion (-1 pt)	4 (33.3)	2 (20.0)	
Skin edema (-2 pt)	2 (16.7)	2 (20.0)	
2. Venous Doppler finding†			0.01‡
Normal venous Doppler	4 (33.3)	1 (10.0)	
Reversed ductus venosus flow (-1 pt)	7 (58.3)	2 (20.0)	
Pulsatile flow in the umbilical vein (-2 pt)	1 (8.3)	7 (70.0)	
3. Heart size			0.53
CTAR <35%	0	1 (10.0)	
CTAR between 35% and 50% (-1 pt)	9 (75.0)	7 (70.0)	
CTAR >50% (-2 pt)	3 (25.0)	2 (20.0)	
4. Cardiac function			0.56
Normal cardiac function	1 (8.3)	2 (20.0)	
Holosystolic TR, or ventricular FS < 28% (-1 pt)	6 (50.0)	3 (30.0)	
Holosystolic MR or CAVVR, or monophasic inflow pattern (-2 pt)	5 (41.7)	5 (50.0)	
5. Arterial Doppler finding			0.24
Normal UA Doppler	9 (75.0)	10 (100)	
No end-diastolic UA flow (-1 pt)	2 (16.7)	0	

Reversed end-diastolic UA flow (-2 pt)	1 (8.3)	0
--	---------	---

420

421 Data are n (%) unless otherwise specified.

422 *Tachy- or bradyarrhythmia but not extrasystole was observed in all 10 fetuses with

423 arrhythmia and a CVP score of ≤ 7 at birth.

424 †Only the worst finding was counted for each echocardiographic parameter in the last CVP

425 score. All fetuses with pulsatile flow in the umbilical vein had reversed ductus venosus flow.

426 All fetuses with skin edema had abdominal, pleural, or pericardial effusion.

427 ‡Abnormal venous Doppler sonography findings were significantly more common and more

428 severe in fetuses with tachy- or bradyarrhythmia than those with CHD ($P=0.01$).

429 CAVVR, common atrioventricular valve regurgitation; CHD, congenital heart defect; CTAR,

430 cardiothoracic area ratio; CVP score, cardiovascular profile score; FS, fractional shortening;

431 MR, mitral valve regurgitation; TR, tricuspid valve regurgitation; UA, umbilical artery.

432

433 **Figure Legends**

434

435 **Figure 1.** Study flowchart

436 CHD, congenital heart defect.

437

438 **Figure 2.** UV NT-proBNP concentration and CVP score in fetuses with CHD, arrhythmia, or

439 both

440 All controls had a CVP score of 10. All fetuses with CHD, arrhythmia, or both were divided

441 into 3 groups according to the severity of fetal heart failure.

442 (i) Fetuses with a CVP score of 6 or 7 and a CVP score of ≤ 5 had higher UV NT-proBNP

443 levels than controls, respectively ($*P < 0.01$). No differences were observed in UV NT-

444 proBNP levels between fetuses with CHD or arrhythmia and a CVP score of ≥ 8 versus

445 controls ($P = 0.16$).

446 (ii) Concentrations of UV NT-proBNP in fetuses with CHD, arrhythmia, or both were

447 inversely correlated with CVP score (P for trend < 0.01).

448 Boxes extend from the 25th to the 75th percentile. The middle horizontal line within each box

449 indicates the median. Vertical lines extend from the box to a distance of at most 1.5 times the

450 interquartile range. Outliers are plotted separately.

451 CHD, congenital heart defect; CVP score, cardiovascular profile score; NT-proBNP, N-

452 terminal pro-brain natriuretic peptide; UV, umbilical vein.

453

454 **Figure 3.** CHD, arrhythmias, and UV NT-proBNP concentrations

455 Tachy- or bradyarrhythmia but not extrasystole was observed in all 10 fetuses with

456 arrhythmias and CVP score of ≤ 7 at birth. Among fetuses with a CVP score of ≤ 7 , those with

457 arrhythmia had higher UV NT-proBNP levels than fetuses with CHD ($*P < 0.01$).

- 458 CHD, congenital heart defect; CVP score, cardiovascular profile score; NT-proBNP, N-
459 terminal pro-brain natriuretic peptide; UV, umbilical vein.

For Peer Review

460 **Supplementary Figure Legends**

461

462 **Figure S1.** UV ANP and BNP concentration and CVP score in fetuses with CHD,

463 arrhythmia, or both

464 (i) Fetuses with a CVP score of 6 or 7 and with a CVP score of ≤ 5 had higher UV NP levels

465 than controls, respectively ($*P < 0.01$). No differences were observed in UV NP levels

466 between fetuses with CHD or arrhythmia and a CVP score of ≥ 8 versus controls

467 (ii) Concentrations of UV ANP (S1A) and BNP (S1B) in fetuses with CHD, arrhythmia, or

468 both were inversely correlated with CVP score, respectively (P for trend < 0.01).

469 ANP, atrial natriuretic peptide; BNP, brain natriuretic peptide; CHD, congenital heart defect;

470 CVP score, cardiovascular profile score; UV, umbilical vein.

Figure 1

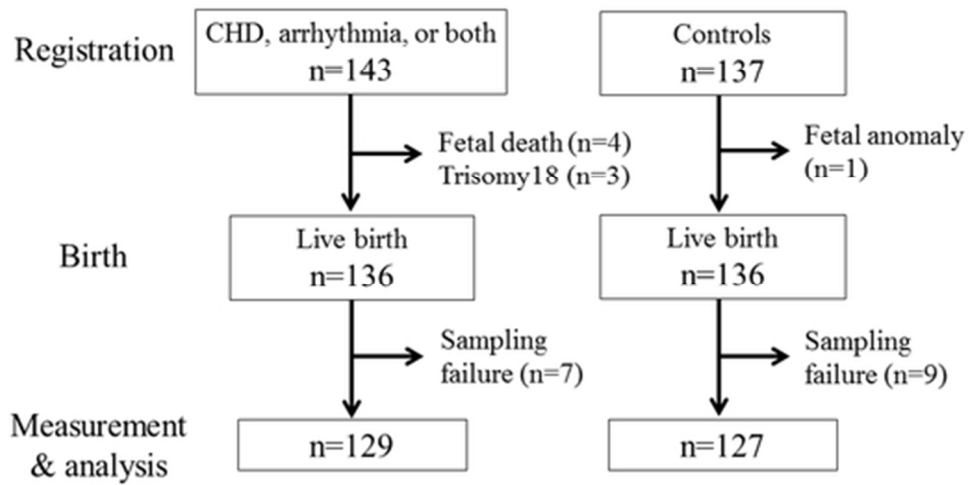


Figure 1

45x34mm (300 x 300 DPI)

Review

Figure 2

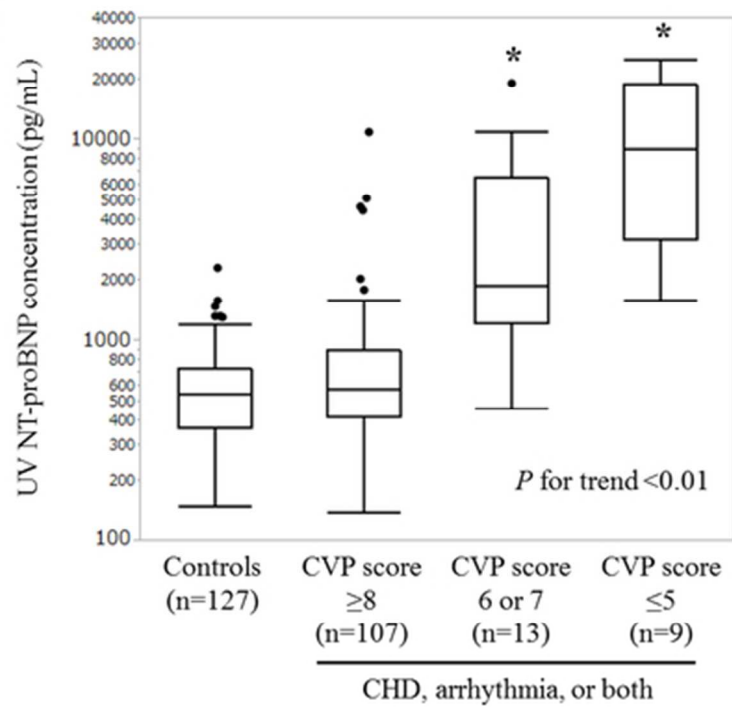


Figure 2

45x34mm (300 x 300 DPI)

Review

Figure 3

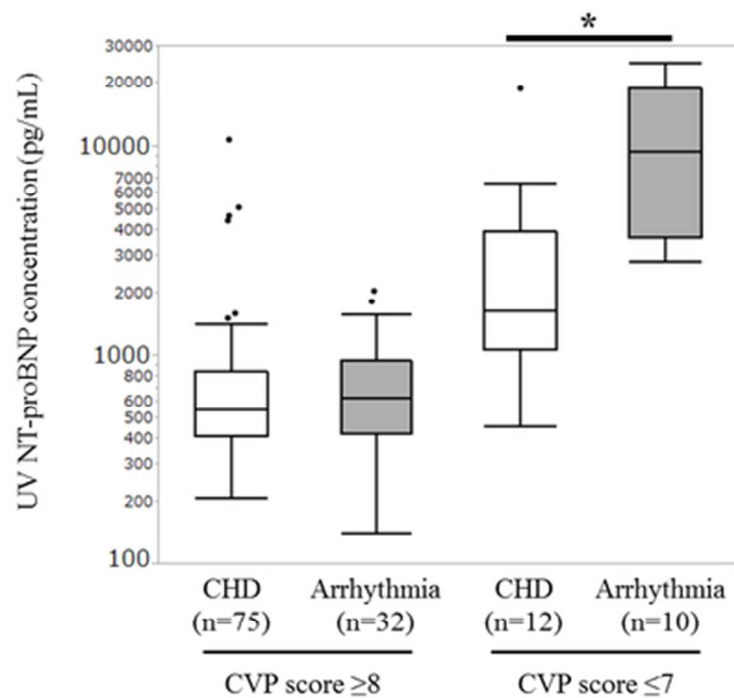


Figure 3

45x34mm (300 x 300 DPI)

Review

1 **Supplementary Table 1.** CVP scores in fetuses with CHD, arrhythmia, or both (n=129)

	First CVP score	Last CVP score	Decrease in CVP score
CHD (n=86)			
Single ventricle physiology			
Isomerism (n=15)	9.2 ± 1.3	9.1 ± 1.2	3 (20.0)
Hypoplastic left heart syndrome (n=6)	8.8 ± 0.4	8.8 ± 0.4	0
Right heart defect (n=20)*	8.1 ± 2.4	7.9 ± 2.5‡	4 (20.0)
Biventricular physiology			
Cyanotic heart defect (n=28)	9.6 ± 0.6	9.5 ± 0.7	2 (7.1)
Acyanotic heart defect (n=17)	9.5 ± 1.2	9.4 ± 1.6	1 (5.9)
Arrhythmia (n=43)			
Tachyarrhythmia (n=20)	7.3 ± 1.7	8.9 ± 1.9	3 (14.3)
Bradyarrhythmia (n=11)†	7.9 ± 1.9	7.6 ± 2.6	3 (27.3)
Extrasystole (n=12)	8.9 ± 1.0	9.0 ± 1.0	0

2

3 CVP score, gestational week at diagnosis, and follow-up duration are shown as means ± SD.

4 Data are n (%) unless otherwise specified.

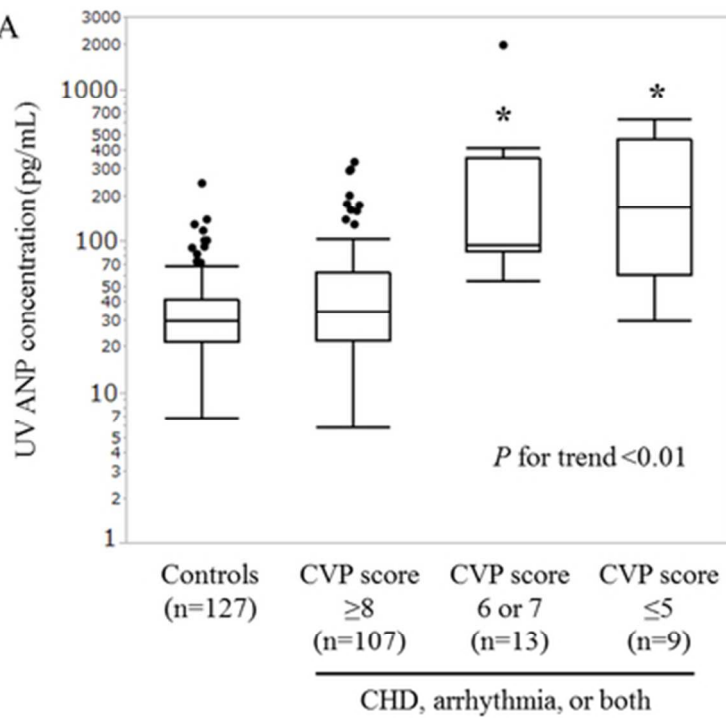
5 *One neonatal death was due to Ebstein's anomaly with circular shunt. †One neonatal death

6 was due to complete atrioventricular block with left atrial isomerism. ‡Right heart defect vs.

7 other categories of CHD ($P < 0.01$).

8 CHD, congenital heart defect; CVP score, cardiovascular profile score.

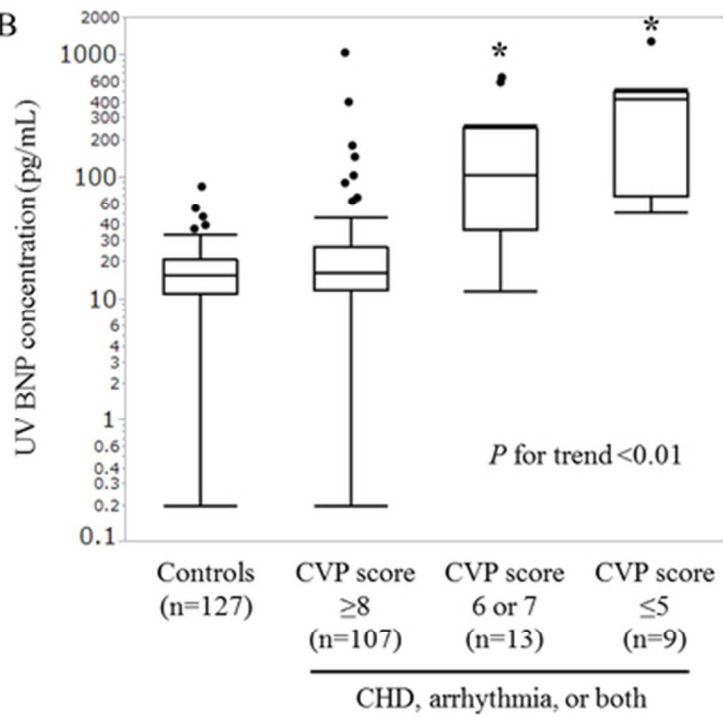
Figure S1A



45x34mm (300 x 300 DPI)

Review

Figure S1B



45x34mm (300 x 300 DPI)

Review